

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/587,631	07/27/2006	Tetsuhiko Isobe	294236US0X PCT	9955	
22850 OSTON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAM	EXAMINER	
			KOSLOW, CAROL M		
ALEXANDRIA, VA 22314		ART UNIT	PAPER NUMBER		
			1793		
			NOTIFICATION DATE	DELIVERY MODE	
			06/20/2009	EL ECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

Application No. Applicant(s) 10/587.631 ISOBE ET AL. Office Action Summary Examiner Art Unit C. Melissa Koslow 1793 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-24 is/are pending in the application. 4a) Of the above claim(s) _____ is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) _____ is/are rejected 7) Claim(s) is/are objected to. 8) Claim(s) 1-24 are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/S5/08)
Paper No(s)/Mail Date _______.

Interview Summary (PTO-413)
Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

Application/Control Number: 10/587,631

Art Unit: 1793

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not

so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a

single invention to which the claims must be restricted.

Group I, claims 1-6, drawn to microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group II, claims 7-10, drawn to a dispersion of microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group III, claim 11, drawn to a fluorescent conversion film comprising a resin and microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group IV, claims 12-14, drawn to a method for producing microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group V, claim 15, drawn to a method of separating microfine metal oxide phosphors from a mixture of a solvent and the phosphors.

Group VI, claim 16, drawn to a method comprising mixing microfine metal oxide phosphors with a solvent and separating the phosphors from the mixture.

Group VII, claim 17, drawn to a liquid containing microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group VIII, claim 18, drawn to a paste containing microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor.

Group IX, claim 19, drawn to the composition resulting from heating the liquid of claim 17.

Group X, claim 20, drawn to the composition resulting from heating the liquid of claim 18.

Group XI, claim 21, drawn to the method of heating the liquid of claim 17.

Group XII, claim 22, drawn to the method of heating the liquid of claim 18.

Application/Control Number: 10/587,631 Page 3

Art Unit: 1793

Group XIII, claim 23, drawn to a fluorescent converter comprising the composition of claims 19 or 20.

Group XIV, claim 23, drawn to a fluorescent converter comprising the composition of claims 19 or 20 in a resin and/or a solvent.

The inventions listed as Groups I-XIV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Groups I-IV and VII-XIV are all linked because they all include the special technical feature of microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor, as defined in claim I. Claim I is either anticipated or obvious over U.S. patent 5,985,173 or 6,576,155, since both patents teach microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor. Accordingly, the special technical feature linking the groups does not provide a contribution over the prior art, and no single inventive concept exists. Therefore restriction is proper.

The methods of Groups V and VI do not include the special technical feature of Groups I-IV and VII-XIV in that the phosphors being treated in the methods of Groups V and VI do not have an organic group coordinated to the surface of the phosphor. Since Groups V and VI do not contain the special technical feature of the other groups, no single inventive concept exists and there is a lack of unity between the groups. Accordingly, restriction is proper.

Applicants are reminded that 37 CFR 1.475 states an international or a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following combinations of categories:

- A product and a process specially adapted for the manufacture of said product; or
- (2) A product and process of use of said product; or
- (3) A product, a process specially adapted for the manufacture of the said product, and a use of the said product; or
- (4) A process and an apparatus or means specifically designed for carrying out the said process; or

Application/Control Number: 10/587,631

Art Unit: 1793

(5) A product, a process specially adapted for the manufacture of the said product, and an apparatus or means specifically designed for carrying out the said process.

In the present claims, there are eight different uses claimed for the special technical feature of microfine doped metal oxide phosphors having an organic group coordinated to the surface of the phosphor, as defined in claim 1. These eight different uses are set forth in claims 7-11 and 17-24.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of an invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Melissa Koslow whose telephone number is (571) 272-1371. The examiner can normally be reached on Monday-Friday from 8:00 AM to 3:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jerry Lorengo, can be reached at (571) 272-1233.

Application/Control Number: 10/587,631 Page 5

Art Unit: 1793

The fax number for all official communications is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/cmk/ June 26, 2008 /C. Melissa Koslow/ Primary Examiner Art Unit 1793